

# **EXHIBIT 1**

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF MISSOURI  
EASTERN DIVISION**

NORDYNE INC.,	)	
	)	
Plaintiff,	)	
	)	
vs.	)	Case No. 4:09-CV-203
	)	
	)	
RBC MANUFACTURING	)	
CORPORATION,	)	
	)	
Defendant.	)	

**NORDYNE’S FIRST AMENDED RULE 26(a)(1) DISCLOSURES**

Plaintiff Nordyne Inc. (“Nordyne” or “Plaintiff”), by and through its counsel, provides the following first amended initial disclosures pursuant to Rule 26(a)(1) of the Federal Rules of Civil Procedure. Nordyne makes these disclosures based on information presently available to it and without waiving any applicable privileges or objections as to admissibility or on other grounds. Nordyne reserves its right to supplement or amend these disclosures as necessary including, but not limited to, as additional information becomes available or is otherwise discovered.

Subject to the above, Nordyne states as follows:

A. The following individuals are likely to have discoverable information which Nordyne may use to support its claims and/or defenses:

1. Richard DeLoach, Nordyne’s Vice President of Engineering, is likely to have information regarding: (i) the relevant technology; (ii) RBC’s business communications with Nordyne; (iii) communications with RBC regarding the patent-in-suit and/or the accused systems; (iv) the creation, design, and/or development of the accused systems; and/or (v) prior art to the alleged invention of the patent-in-suit. Mr. DeLoach may be contacted through Nordyne’s counsel.

2. Al Reifel, Nordyne’s Vice President of Research & Development, is

likely to have information regarding: (i) the relevant technology; (ii) RBC's business communications with Nordyne; (iii) communications with RBC regarding the patent-in-suit and/or the accused systems; (iv) the creation, design, and/or development of the accused systems; and/or (v) prior art to the alleged invention of the patent-in-suit. Mr. Reifel may be contacted through Nordyne's counsel.

3. Aaron Herzon, Nordyne Engineering Director, is likely to have information regarding: (i) the relevant technology; (ii) the creation, design, and/or development of the accused systems; and/or (iii) prior art to the alleged invention of patent-in-suit. Mr. Herzon may be contacted through Nordyne's counsel.

4. Dave Koesterer, Nordyne Engineering Director, is likely to have information regarding: (i) the relevant technology; (ii) the creation, design, and/or development of the accused systems; and/or (iii) prior art to the alleged invention of patent-in-suit. Mr. Koesterer may be contacted through Nordyne's counsel.

5. Jie Chen, Nordyne Engineering Director, is likely to have information regarding: (i) the relevant technology; (ii) the creation, design, and/or development of the accused systems; and/or (iii) prior art to the alleged invention of patent-in-suit. Mr. Chen may be contacted through Nordyne's counsel.

6. Steve Bayes, Nordyne Engineer, is likely to have information regarding: (i) the relevant technology; (ii) the creation, design, and/or development of the accused systems; and/or (iii) prior art to the alleged invention of patent-in-suit. Mr. Bayes may be contacted through Nordyne's counsel.

7. Rich Halsey, SW Engineer with UT Electronic Controls ("UTEC"), Doug Lynn, Controls Engineer with UTEC, and/or other unidentified individuals associated with UTEC, are likely to have information regarding: (i) the relevant technology; (ii) the creation, design,

and/or development of the accused systems and/or components thereof; and/or (iii) prior art to the alleged invention of patent-in-suit. UTEC is based in Huntington, Indiana.

8. Sean Kakita, Koji Hatano, Yukihiro Okada, Shuichi Morita, and Dr. Fumitoshi Yamashita, all engineers with Panasonic Industrial Company (“Panasonic”), and/or other unidentified individuals associated with Panasonic, are likely to have information regarding: (i) the relevant technology; (ii) the creation, design, and/or development of the accused systems and/or components thereof; and/or (iii) prior art to the alleged invention of patent-in-suit. On information and belief, these individuals are located in Japan.

9. RBC and/or unidentified individuals associated with RBC, are likely to have information regarding: (i) the relevant technology; (ii) RBC’s business strategies; (iii) the prosecution of the application for the patent-in-suit; (iv) the alleged invention claimed in the patent-in-suit; (v) communications with Nordyne regarding the patent-in-suit and/or the accused systems; and (vi) prior art to the alleged invention of patent-in-suit.

10. William Archer, Roger Becerra, Brian Beifus, Mark Brattoli, and Rajendra Shah are named as alleged inventors on the patent-in-suit. These individuals, and/or other unidentified individuals currently or formerly associated with General Electric Company, are likely to have information regarding: (i) the relevant technology; (ii) the alleged invention(s) claimed and disclosed in the patent-in-suit; (iii) the prosecution of the application for the patent-in-suit; and/or (iv) prior art to the alleged invention of patent-in-suit. Nordyne currently has no contact information for these individuals other than as is reflected in the patent-in-suit.

11. The following individuals are named as inventors on prior art that Nordyne identified and/or produced in this litigation including, but not limited to, in response to RBC’s Interrogatory No. 2 and Document Requests Nos. 8-9 and 12-15, and are likely to have discoverable information that Nordyne may use to support its defenses and claims in this lawsuit

including, but not limited to, facts relating to the state of the prior art at the time of the alleged invention of the patent-in-suit as well as the invalidity of the patent-in-suit:

<u>Name</u>	<u>Patent No.</u>
Abendschein, Frederic Columbia, Pennsylvania	U.S. Pat. No. 4,257,238
Archer, William Fort Wayne, Indiana	U.S. Pat. No. 5,473,229 U.S. Pat. No. 5,592,058 U.S. Pat. No. 5,592,059 European Pat. Pub. No. 0572 149 B1
Arends, Gregory Libertyville, Illinois	U.S. Pat. No. 4,779,031 U.S. Pat. No. 5,038,088
Ballard, Gary Indianapolis, Indiana	U.S. Pat. No. 4,648,551
Barrett, Michael Barrington, Illinois	U.S. Pat. No. 5,103,391 U.S. Pat. No. 5,311,451
Batcheller, Barry West Fargo, North Dakota	U.S. Pat. No. 4,467,706
Becerra, Roger Fort Wayne, Indiana	U.S. Pat. No. 5,473,229 U.S. Pat. No. 5,592,058 European Pat. Pub. No. 0572 149 B1
Beifus, Brian Fort Wayne, Indiana	U.S. Pat. No. 5,019,757 U.S. Pat. No. 5,473,229 U.S. Pat. No. 5,592,058 European Pat. Pub. No. 0572 149 B1
Bell, Ian Richmond, Ontario, Canada	U.S. Pat. No. 5,088,645
Bessler, Warren Schenectady, New York	U.S. Pat. No. 4,667,480 U.S. Pat. No. 5,410,230
Bhagwat, Pradeep Baltimore, Maryland	European Pat. Pub. No. 0 086 650 B1

<u>Name</u>	<u>Patent No.</u>
Boyd, John Holland, Michigan	U.S. Pat. No. 4,540,921
Brattoli, Mark Fort Wayne, Indiana	U.S. Pat. No. 5,592,058 European Pat. Pub. No. 0572 149 B1
Brosnan, Michael Ennis, Ireland	U.S. Pat. No. 4,661,756 U.S. Pat. No. 4,943,760
Buckley, Maurice Blackrock, Ireland	U.S. Pat. No. 5,173,651
Byrne, John Dalkey, Ireland	U.S. Pat. No. 4,670,696 U.S. Pat. No. 4,943,760
Chrzastek, Ralph Warrenville, Illinois	U.S. Pat. No. 5,197,375 U.S. Pat. No. 5,253,564
Chynoweth, Lawrence Rochester Hills, Michigan	U.S. Pat. No. 5,089,759
Cieslic, Franck Veneux-les-Sablons, France	PCT Application Pub. No. WO 91/17491
Cooper, Kenneth York, Pennsylvania	U.S. Pat. No. 4,257,238
Culberson, Wayne Pulaski, Virginia	U.S. Pat. No. 4,733,149 U.S. Pat. No. 4,912,381
De Martelaere, Gary Conifer, Colorado	U.S. Pat. No. 4,590,779
Devitt, Francis Glasnevin, Ireland	U.S. Pat. No. 4,670,696 U.S. Pat. No. 4,943,760
Doyle, Edward Dedham, Massachusetts	U.S. Pat. No. 5,202,951
Egan, Michael Wilton, Ireland	U.S. Pat. No. 4,661,756 U.S. Pat. No. 4,943,760
Ekren, Dennis Denver, Colorado	U.S. Pat. No. 4,590,779
Ellis, George	U.S. Pat. No. 4,992,716

<u>Name</u>	<u>Patent No.</u>
Blacksburg, Virginia	
Elms, Robert Monroeville, Pennsylvania	U.S. Pat. No. 4,434,390
Endo, Tsunehiro Hitachiota-shi, Japan	European Pat. Pub. No. 0 264 728 A1 European Pat. Pub. No. 0 401 818 A1
Erdman, David Fort Wayne, Indiana	U.S. Pat. No. 4,459,519 U.S. Pat. No. 4,638,233
Frommholz, Wilfried Germany	French Pat. Pub. No. 2 561 179
Futami, Motoo Hitachi-shi, Japan	European Pat. Pub. No. 0 401 818 A1
Gee, David Florissant, Missouri	U.S. Pat. No. 4,743,815
Gleason, Henry Goshen, Indiana	PCT Application Pub. No. WO 85/00064
Gotou, Makoto Nishinomiya-shi Hyogo-ken, Japan	European Pat. Pub. No. 0 296 699 B1
Gruner, Garrett Lansing, Michigan	U.S. Pat. No. 4,298,943
Haessig, David Poway, California	U.S. Pat. No. 4,942,921
Hamidi, Jamshid San Diego, California	U.S. Pat. No. 4,942,921
Heckenbach, Terry Middleburg, Indiana	PCT Application Pub. No. WO 85/00064
Hishi, Wakiohiro Nagaroyama-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Hooker, John Fort Wayne, Indiana	U.S. Pat. No. 5,410,230

<u>Name</u>	<u>Patent No.</u>
Hoppe, Willy Germany	French Pat. Pub. No. 2561179
Hort, Roger San Diego, California	U.S. Pat. No. 4,942,921
Hunt, James Lyster Bay, New York	U.S. Pat. No. 4,209,943
Igawa, Yasushi Japan	Japanese Pat. Pub. No. 03-049582
Ishii, Yoshitaro Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Ito, Eiji Furukawa-shi, Japan	U.S. Pat. No. 4,806,837
Jackson, Ronald Indianapolis, Indiana	U.S. Pat. No. 4,886,110
Jyoraku, Fumio Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Kamiya, Michihiko Handa, Japan	U.S. Pat. No. 4,486,837
Kidd, H. Keith Dallas, Texas	UK Patent Application No. GB 2 079 979 A
Kitauchi, Hajime Nagasaki, Japan	U.S. Pat. No. 4,674,291
Kitayama, Tooru Tochigi-ken, Japan	European Pat. Pub. No. 0 401 818 A1
Kobayashi, Toyohiro Shinzuoka, Japan	U.S. Pat. No. 4,795,088
Koharagi, Haruo Taga-gun Ibaraki-ken, Japan	European Pat. Pub. No. 0 264 728 A1
Kojima, Yashuhumi Gifu, Japan	U.S. Pat. No. 4,486,837
Kountz, Kenneth	U.S. Pat. No. 4,257,238



<u>Name</u>	<u>Patent No.</u>
Hoffman Estates, Illinois	
Langley, Lawrence Dallas, Texas	UK Patent Application No. GB 2 079 979 A
Lawton, James Midleton, Ireland	U.S. Pat. No. 4,943,760
Littlejohn, Douglas Sunnyvale, California	U.S. Pat. No. 5,033,000
Lynch, Gregory Murfreesboro, Tennessee	U.S. Pat. No. 5,005,365 U.S. Pat. No. 5,027,789
Mamot, Robert Plainfield, Indiana	U.S. Pat. No. 4,648,551
Martinson, William Fargo, North Dakota	U.S. Pat. No. 4,467,706
Matsushima, Takeo Toyota, Japan	U.S. Pat. No. 4,486,837
McMullin, Francis Ennis, Ireland	U.S. Pat. No. 4,661,756 U.S. Pat. No. 4,670,696 U.S. Pat. No. 4,943,760
Miyashita, Kunio Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Miotke, Mark Richmond, Michigan	U.S. Pat. No. 5,089,759
Moeller, Henry Southampton, New York	U.S. Pat. No. 4,209,943
Morinaga, Shigeki Hitachi, Japan	U.S. Pat. No. 4,346,434
Muller, Alexander Holland, Michigan	U.S. Pat. No. 4,540,921
Murphy, John Bishopstown, Ireland	U.S. Pat. No. 4,661,756 U.S. Pat. No. 4,943,760
Murray, Aengus	U.S. Pat. No. 5,173,651

<u>Name</u>	<u>Patent No.</u>
Dublin, Ireland	
Naka, Shinji Moriyama, Japan	U.S. Pat. No. 5,043,926 UK Patent Application No. GB 2 202 063 A Japanese Pat. Pub. No. 01- 133102
Nakayama, Morihiro Kusatsu, Japan	U.S. Pat. No. 5,043,926 UK Patent Application No. GB 2 202 063 A
Nolting, Peter Germany	French Pat. Pub. No. 2 561 179
Notohara, Yasuo Hitachi-shi, Japan	European Pat. Pub. No. 0 401 818 A1
O'Dwyer, Jeremiah Naas, Ireland	U.S. Pat. No. 4,670,696 U.S. Pat. No. 4,943,760
Ootsuka, Fumio Gifu, Japan	U.S. Pat. No. 4,486,837
Otsuka, Nobuo Kamakura, Japan	U.S. Pat. No. 4,795,088
Parker, Edward Jacksonville, Florida	U.S. Pat. No. 4,487,363 U.S. Pat. No. 4,530,395 U.S. Pat. No. 4,843,084
Parker, Jeffrey Jacksonville, Florida	U.S. Pat. No. 4,487,363 U.S. Pat. No. 4,530,395 U.S. Pat. No. 4,843,084
Pohl, Walter Louisville, Kentucky	U.S. Pat. No. 4,653,285
Polzin, James Wheaton, Illinois	U.S. Pat. No. 4,779,031 U.S. Pat. No. 5,038,088
Pradelle, Bernard Limoges, France	U.S. Pat. No. 5,269,660 PCT Application Pub. No. WO 92/00492
Rodi, Anton	U.S. Pat. No. 5,013,981

<u>Name</u>	<u>Patent No.</u>
Leimen, Germany	German No. DE 3541277 A1
Rogers, Charles Chico, California	U.S. Pat. No. 4,682,473
Rosenbrock, Richard Bluffton, Indiana	U.S. Pat. No. 5,197,375 U.S. Pat. No. 5,253,564
Saar, David Timonium, Maryland	European Pat. Pub. No. 0 086 650 B1
Saito, Kohichi Nakagocho Kitaibaraki-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Scheidel, Wolfgang Germany	French Pat. Pub. No. 2 561 179
Schjerven, William Schaumburg, Illinois	U.S. Pat. No. 5,197,375 U.S. Pat. No. 5,253,564
Sears, Lawrence Hunting Valley, Ohio	U.S. Pat. No. 5,151,017
Shah, Rajendra Indianapolis, Indiana	U.S. Pat. No. 4,978,896 U.S. Pat. No. 5,410,230 U.S. Pat. No. 5,492,273 U.S. Pat. No. 5,592,058 EP 0572 149 B1
Staggs, Harvard Mountain View, California	U.S. Pat. No. 5,033,000
Stange, Ronald Littleton, Colorado	U.S. Pat. No. 4,590,779
Steinmann, Helmut Germany	French Pat. Pub. No. 2 561 179
Stone, Arthur Kilmallock, Ireland	U.S. Pat. No. 5,173,651
Stratton, Larry Cypress, California	U.S. Pat. No. 4,795,088
Sumner, Lee	U.S. Pat. No. 4,257,238

<u>Name</u>	<u>Patent No.</u>
Dallastown, Pennsylvania	
Symington, Laurence Unicoi, Tennessee	U.S. Pat. No. 4,800,804
Tahara, Kazuo Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Thompson, Kevin Indianapolis, Indiana	U.S. Pat. No. 4,648,551
Thompson, Peter Cypress, California	U.S. Pat. No. 4,795,088
Thorn, Stephen Florissant, Missouri	U.S. Pat. No. 4,743,815
Tompson, Clement Melrose Park, Illinois	U.S. Pat. No. 4,298,943
Toyoshima, Hisanori Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Ueda, Eiji Yawata-shi Kyoto-fu, Japan	European Pat. Pub. No. 0 296 699 B1
Unita, Hiroyuki Japan	Japanese Pat. Pub. No. 01- 133102
Unida, Hiroyuki Kusatsu, Japan	U.S. Pat. No. 5,043,926 UK Patent Application No. GB 2 202 063 A
Vogt, Günther Kirchheim/Teck, Germany	European Pat. Pub. No. 0 198 248 A2
Wall, John Seven Hills, Ohio	U.S. Pat. No. 5,151,017
Watanabe, Syuji Naka-gun Ibaraki-ken, Japan	European Pat. Pub. No. 0 264 728 A1
Watkins, Baxter Foster City, California	U.S. Pat. No. 5,033,000
West, John	U.S. Pat. No. 4,645,450

<u>Name</u>	<u>Patent No.</u>
Camp Hill, Pennsylvania	
Yagi Toshiakai Kusatsu, Japan	U.S. Pat. No. 5,043,926 UK Patent Application No. GB 2 202 063 A Japanese Pat. Pub. No. 01- 133102
Yamashita, Koujiro Hitachi-shi, Japan	European Pat. Pub. No. 0 264 728 A1
Yamauchi, Satomi Nagoya, Japan	U.S. Pat. No. 5,029,230
Yoshimi, Akiro Kariya, Japan	U.S. Pat. No. 4,486,837
Yoshino, Hozo Tokyo, Japan	U.S. Pat. No. 4,420,947
Young, Glen Fort Wayne, Indiana	U.S. Pat. No. 4,806,833
Zick, Kenneth Fort Wayne, Indiana	U.S. Pat. No. 5,473,229

12. Unidentified individuals currently or formerly associated with the named inventors of the prior art, and/or unidentified individuals or unidentified entities involved in the invention, creation, design, development, marketing, offer for sale, sale, importation, publication, and/or operation of the prior art.

13. The following entities and unidentified individuals currently or formerly associated with such entities, that have information regarding prior art to the patent-in-suit including, but not limited to, AC and DC drive systems, AC and DC drive methods, AC and DC motor controls, and AC and DC motors, including General Electric Corporation, US Motors, Emerson Electric Co., Siemens AG, Reliance Electric, Mitsubishi Electric, Matsushita, Hitachi, Kollmorgen, and others.

B. The following documents or categories of documents in Nordyne's possession, custody, or control may be used by Nordyne to support its claims and/or defenses. By identifying the following documents, Nordyne does not waive any objections as to their admissibility:

1. The patent-in-suit;
2. The file histories for the patent-in-suit;
3. Correspondence between Nordyne and RBC Manufacturing Corporation ("RBC");
4. Patents and/or patent applications that are related to the patent-in-suit;
5. The file histories for the patents and/or patent applications that are related to the patent-in-suit;
6. Prior art to the alleged invention of the patent-in-suit; and
7. Documents relating to the design, development, production, marketing and sale of Nordyne's accused products.

Copies of such documents and things shall be produced at a time and place mutually agreed by counsel.

C. Nordyne does not presently have a computation of any category of damages and/or any documents relating thereto. Nordyne will document and produce information regarding its recoverable costs and attorney's fees at the appropriate stage of this litigation.

D. Nordyne will produce for inspection and copying, at a mutually convenient place and time, insurance agreement(s), if any, that it owns that may be liable to satisfy part or all of a judgment which may be entered in the action or to indemnify or reimburse for payments made to satisfy a judgment.

October 6, 2010

Respectfully submitted,

By:           /s/ David A. Roodman            
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***ATTORNEYS FOR PLAINTIFF NORDYNE  
INC.***

**CERTIFICATE OF SERVICE**

I hereby certify that on this 6th day of October, 2010, a copy of the foregoing document was served via electronic mail and deposited with the U.S. Mail addressed to the following:

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\_\_\_\_\_/s/ Nick E. Williamson\_\_\_\_\_